

FORM PTO-1449 (Modified) (REV. 7-80)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE							ATTY. DOCKET NO. 031855.0092		APPLICATION NO. 09/769,744			
LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)									APPLICANT LE PAGE et al.					
									FILING DATE January 26, 2001		GROUP To Be Assigned			
U.S. PATENT DOCUMENTS														
*EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME		CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
FOREIGN PATENT DOCUMENTS														
	DOCUMENT NUMBER							DATE	COUNTRY		CLASS	SUBCLASS	TRANSLATION	
5	WO	95	0	6	7	3	2	03/09/95	WIPO				YES	NO
5	WO	98	1	8	9	3	1	05/07/98	WIPO					
5	WO	97	3	7	0	2	6	10/09/97	WIPO					
5	WO	98	3	1	7	8	6	07/23/98	WIPO					
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)														
5	5	Poquet, Isabelle, et al., "An Export-Specific Reporter Designed for Gram-Positive Bacteria: Application to <i>Lactococcus lactis</i> ," <i>Journal of Bacteriology</i> , 180:1904-1912 (1998).												
EXAMINER		5							DATE CONSIDERED		November 05			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.														

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

AUG 13 2005

Sheet

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PATENT & TRADEMARK OFFICE

(use as many sheets as necessary)

AUG 16 2005

PATENT & TRADEMARK OFFICE

1 of 4

Application Number 09/769,744

Filing Date January 26, 2001

First Named Inventor Richard W. Falla LE PAGE et al.

Art Unit 1645

Examiner Name DEVI

Attorney Docket Number 62785.000004

U.S. PATENT DOCUMENTS

*Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

*Examiner Initials	Cite No.	FOREIGN PATENT DOCUMENT		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	TRANSLATION	
		Country Code:	Number - Kind Code (if known)				YES	NO
							<input type="checkbox"/>	<input type="checkbox"/>

OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS

*Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume- issue number(s), publisher, city and/or country where published	TRANSLATION	
			YES	NO
SD	1.	Anderson <i>et al.</i> (1996) "Immune Response in mice following immunization with DNA encoding fragment C of tetanus toxin." <i>Infection and Immunity</i> 64: 3168-3173	<input type="checkbox"/>	<input type="checkbox"/>
	2.	Breiman <i>et al.</i> (1990) Arch. Intern. Med. 150: 1401	<input type="checkbox"/>	<input type="checkbox"/>
	3.	Breiman <i>et al.</i> (1994) J. Am. Med. Assoc. 271: 1831	<input type="checkbox"/>	<input type="checkbox"/>
SD	4.	Donnelly <i>et al.</i> (1997) Ann. Rev. Immunol. 15: 617-648	<input type="checkbox"/>	<input type="checkbox"/>

EXAMINER SIGNATURE *SD*DATE CONSIDERED *November 05*

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AUG 16 2005

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number 09/769,744				
				Filing Date January 26, 2001				
				First Named Inventor Richard W. Falla LE PAGE et al.				
				Art Unit 1645				
				Examiner Name DEVI				
Sheet	2	of	4	Attorney Docket Number 62785.000004				
OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS								
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							YES	NO
<i>SD</i>	5.	Dougall et al. (September 1994) Tibtech 12: 372-379					<input type="checkbox"/>	<input type="checkbox"/>
	6.	Van der Vossen, et al. (1985) Applied and Environmental Microbiology 50: 540-542					<input type="checkbox"/>	<input type="checkbox"/>
	7.	Kohler & Milstein (1975) Nature 256					<input type="checkbox"/>	<input type="checkbox"/>
	8.	Kolkman et al. (1996) 178: 3736-3741					<input type="checkbox"/>	<input type="checkbox"/>
	9.	Kovacevic et al. (1985) J. Bacteriol. 162: 521-528					<input type="checkbox"/>	<input type="checkbox"/>
	10.	Kurar and Splitter (1997) Vaccine 15: 1851-57					<input type="checkbox"/>	<input type="checkbox"/>
	11.	Le Loir et al. (1994) J. Bacteriol. 176: 5135-5139					<input type="checkbox"/>	<input type="checkbox"/>
	12.	LeBlanc et al. (1978) PNAS USA 75: 3484-3487					<input type="checkbox"/>	<input type="checkbox"/>
	13.	Li et al. (1997) PNAS 94: 13251-13256					<input type="checkbox"/>	<input type="checkbox"/>
<i>SD</i>	14.	Liebl et al. (1992) J. Bacteriol. 174: 1854-1861					<input type="checkbox"/>	<input type="checkbox"/>
EXAMINER SIGNATURE <i>SD</i>				DATE CONSIDERED <i>Novem. 05</i>				
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**INFORMATION DISCLOSURE
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Application Number

09/769,744

Filing Date

January 26, 2001

First Named Inventor

Richard W. Falla LE PAGE et al.

Art Unit

4645

Examiner Name

DEVI

Sheet 3 of 4 Attorney Docket Number 62785.000004

OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS

*Examiner initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	TRANSLATION	
			YES	NO
SD	15.	Marck (1988) Nucleic Acids Research 16: 1829-1836	<input type="checkbox"/>	<input type="checkbox"/>
	16.	Miller et al. (1987) J. Bacteriol. 169: 3508-3514	<input type="checkbox"/>	<input type="checkbox"/>
	17.	Morrison et al. (1984) PNAS 81: 6851-6855	<input type="checkbox"/>	<input type="checkbox"/>
	18.	Oultram and Klaenhammer (1985) FEMS Microbiological Letters 27: 129-134	<input type="checkbox"/>	<input type="checkbox"/>
	19.	Poquet et al. (1998) J. Bacteriol. 180: 1904-1912	<input type="checkbox"/>	<input type="checkbox"/>
	20.	Schappert (1992) Vital and Health Statistics of the Centres for Disease Control/National Centre for Health Statistics 214: 1	<input type="checkbox"/>	<input type="checkbox"/>
	21.	Shortle (1983) Gene 22: 181-189	<input type="checkbox"/>	<input type="checkbox"/>
	22.	Simon and Chopin (1988) Biochimie 70: 559-567	<input type="checkbox"/>	<input type="checkbox"/>
SD	23.	Takeda et al. (1985) Nature 314: 452-454	<input type="checkbox"/>	<input type="checkbox"/>

EXAMINER SIGNATURE

SD

DATE CONSIDERED

NOV 16 2005

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**INFORMATION DISCLOSURE
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Sheet

4 of 4

Application Number

09/769,744

Filing Date

January 26, 2001

First Named Inventor

Richard W. Falla LE PAGE et al.

Art Unit

1645

Examiner Name

DEVI

Attorney Docket Number

62785.000004

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			YES	NO
SD	24.	Waterfield et al. (1995) Gene 165: 9-15	<input type="checkbox"/>	<input type="checkbox"/>
	25.	Wells and Schoefield (1996) In Current advances in metabolism, genetics, and applications-NATO ASI Series H 98: 37-62	<input type="checkbox"/>	<input type="checkbox"/>
	26.	Wells et al. (1993) J. Appl. Bacteriol. 74: 629-636	<input type="checkbox"/>	<input type="checkbox"/>
	27.	Zhang et al. (1997) Infection and Immunity 176: 1035-1040	<input type="checkbox"/>	<input type="checkbox"/>
SD	28.	George R. Siber, "Pneumococcal Disease: Prospects for a New Generation of Vaccines" Science, September 1994, Vol. 265, pgs. 1385-1387.	<input type="checkbox"/>	<input type="checkbox"/>

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